JC03 Rec'd PCT/PT0 1 0 NOV 2009

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER CE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 13156-00028-US1

CONCERNING A SUBMISSIO)N UNDER 35 U.S.C. 371	U.S. APPENDING REMOVED CFR 1.5)				
INTERNATIONAL APPLICATION NO. PCT/EP04/005214	INTERNATIONAL FILING DATE 14 May 2004	PRIORITY DATE CLAIMED 14 May 2003				
TITLE OF INVENTION		14 May 2000				
METHOD FOR PRODUCING FORMIC APPLICANT(S) FOR DO/EO/US	ACID FORMIATES					
Alexander Hauk et al.						
Applicant herewith submits to the United Stat	es Designated/Elected Office (DO/EO	/US) the following items and other information:				
1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUE	NT submission of items concerning a s	ubmission under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. The US has been elected (Article 3	1).					
5. x A copy of the International Applicati	on as filed (35 U.S.C. 371 (c)(2))					
a. x is attached hereto (required on	ly if not communicated by the Internation	onal Bureau).				
b. has been communicated by the	International Bureau.					
c. is not required, as the application	on was filed in the United States Recei	iving Office (RO/US).				
6. x An English language translation of t	the International Application as filed (3	5 U.S.C. 371(c)(2)).				
a. x is attached hereto.						
b. has been previously submitted	under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the In	ternational Application under PCT Artic	de 19 (35 U.S.C. 371(c)(3))				
a are attached hereto (required o	a are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated by th	b. have been communicated by the International Bureau.					
c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. have not been made and will no	d. have not been made and will not be made.					
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern docun	nent(s) or information included:					
11. An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.					
12. An assignment document for record	ding. A separate cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.				
13. x A preliminary amendment.						
14. x An Application Data Sheet under 3	37 CFR 1.76.					
15. A substitute specification.						
16. A power of attorney and/or change	of address letter.					
17. A computer-readable form of the s	equence listing in accordance with Pe	CT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821 – 1.825.				
18. A second copy of the published Int	ternational Application under 35 U.S.0	C. 154(d)(4).				
19. A second copy of the English lang	uage translation of the international a	pplication under 35 U.S.C. 154(d)(4).				

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	N NO (K krown see		INTERNATIONAL APPLI		ATTORNEY'S DOC	CKET NUMBER	
PCT/EP04/005214 13156-00028-US1							
20. X Other items or information: Return Receipt Postcard							
The foll	owing fees hav	e been submitte	ed		CALCULATION	IS PTO USEONLY	
	=))	\$300	\$ 300.0		
22. X Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.0	00	
23. x Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 400.00			
	TOTAL OF 21, 2	2 and 23 =			\$ 900.0	o	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			x \$250.00	\$		
			e, examination fee, or the (37 CFR 1.492(h)).	oath or declaration	\$		
CLAIMS	NU	MBER FILED	NUMBER EXTRA	RATE			
Total clair	ns	- 20 =		x			
Independent	claims	- 3 =		x			
MULTIPLE DEP	ENDENT CLAIM(S) (if applicable)		+			
			TOTAL OF A	BOVE CALCULATIONS =	\$ 900.0	00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
SUBTOTAL =				\$ 900.0	00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$			
TOTAL NATIONAL FEE =				\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$		
TOTAL FEES ENCLOSED =				\$	900.00		
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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a. A check in the amount of \$ to cover the above	re fees is enclosed.				
b. Please charge my Deposit Account No. 22-0185 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0185 . A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on should not be included on this form. Provide credit card information	this form may become public. Credit card information				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:	Mutto 9 Mm- SIGNATURE				
	Matthew J. Mason				
	NAME				
CUSTOMER NUMBER: 30678					
	44,904 REGISTRATION NUMBER				
	REGISTRATION NUMBER				
	•				

JCO6 Rec'd PCT/PTO 1 PLOY 2005 Approved for use through 7/31/2006. OMB 0651-0032 J.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Pap	erwork Reduction Act o	1995, no person are re	quired to	respond to a collectio				3 control number.
Effective on 12/08/2004.				Complete if Known				
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			·		Not Yet Assig			
FEE TRANSMITTAL			Filing Date		Concurrently Herewith			
For FY 2005				First Named Inventor Alexander Hauk				
	· ·			Examiner Name		Not Yet Assig	ned	
Applicant	claims small entity sta	tus. See 37 CFR 1.27		Art Unit		N/A		
TOTAL AMOUN	IT OF PAYMENT	(\$) 900.00		Attorney Docket	No.	13156-00028-	-US1	
METHOD OF	PAYMENT (check	all that apply)					·	
Check Credit Card Money Order None Other (please identify):								
X Deposit Acc	ount Deposit Accoun	Number: 22-0185 D	eposit Acc	count Name:	Conno	lly Bove Lodge	& Hutz Ll	Р
For the a	bove-identified dep	osit account, the Di	rector is	s hereby authorize	ed to: (che	eck all that apply)	
1 —	arge fee(s) indicate					ndicated below, e		he filing fee
	arge any additional	fee(s) or underpayi	ment of	x Credit	any over	payments		
FEE CALCUL	<u>·</u>	1.10 and 1.17		_				
1. BASIC FILING	S, SEARCH, AND E	XAMINATION FEE	S					
	F	ILING FEES	SE	ARCH FEES	EXAMI	NATION FEES	3	
Application Ty	pe Fee (Small Entity \$) Fee (\$)	Fee (\$	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees	Paid (\$)
Utility	300		500	250	200	100		
Design	200		100	50	130	65		
Plant	200		300	150	160	80		
Reissue	300		500	250	600	300		
Provisional	200		0	0	0	0		
2. EXCESS CLA			·	v		•		Small Entity
Fee Description							Fee (\$)	Fee (\$)
Each claim over	20 (including Reis	sues)					50	25
Each independer	nt claim over 3 (inc	luding Reissues)					200	100
Multiple depend	ent claims						360	180
Total Claims	Extra Claims	Fee (\$)	Fee	Paid (\$)	Ū	<u>flultiple Depend</u>	ent Claims	<u> </u>
	20 = 0	x =			E	ee (\$)	Fee Paid (<u>\$)</u>
Indep. Claims	Extra Claims	Fee (\$)	Eoo I	Paid (\$)				_
	3 = 0	x =	100	4.0 (4)				
3. APPLICATION	N SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer								
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)								
- 100 = /50 (round up to a whole number) x =								
4. OTHER FEE(S) Fees Paid (\$)								
Non-English Specification, \$130 fee (no small entity discount)								
Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 1633 National Stage Examination Fee - all other 200.00								
1642 National Stage Examination Fee - all other 200.00								
SUBMITTED BY								
Signature	Mastle.	9 Mis	^-	Registration No.	44,904	Telephone	(202) 3	31-7111
Name (Print/Type)	Matthew J. Mass	,		(Attomey/Agent)	,504		Novembe	